IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	Group Art Unit: 2184
Alok K. Srivastava et al.	Examiner: Not yet assigned
Serial No.: 09/872,590	
Filed: May 31, 2001	**RECEIVED**
For: METHOD AND MECHANSIM FOR USING A META-LANGUAGE TO DEFINE AND ANALYZE TRACES	OCT 1 7 2003 Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached forms PTO/SB/08a and PTO/SB/08b. Copies of the items listed are enclosed herewith.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒　This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d) or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

　　　☒　However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below.

　　　☐　However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐　This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office Action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

　　　☐　The fee due under 37 CFR § 1.17(p) is submitted herewith.

　　　☐　A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐　This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐　This IDS is being submitted under 37 CFR § 1.97(i), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee.

STATEMENT UNDER 37 CFR § 1.97(e):

☐   Each item contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐   No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.
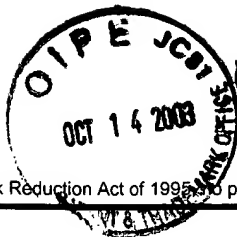
PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

☐   A check in the amount of _____ is enclosed for the above fee(s).

☒   The Commissioner is authorized to credit any overpayment and to charge any underpayment to Bingham McCutchen's Deposit Account No. **50-2518, referencing billing no. 7017812001**, for any fees required by the filing of these papers.

Respectfully submitted,

Dated: October 9, 2003   By: _____

Peter Mei
Reg. No. 39,768

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known	
Application Number	**09/872,590**
Filing Date	**05/31/2001**
First Named Inventor	**Alok K. Srivastava et al.**
Art Unit	**2184**
Examiner Name	**Not yet assigned**
Attorney Docket Number	**260/012**

Sheet	1		4		

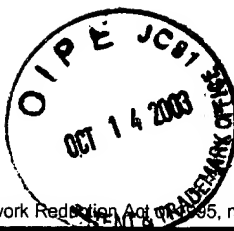## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
	1	Agosti, Maristelle and Alan Smeaton. 1996. *Information Retrieval and Hypertext*. Boston: Kluwer Academic Publishers. ISBN 0-7923-9710-X.	
	2	Akscyn, Robert, Donald McCracken, and Elise Yoder. 1987. "KMS: A Distributed Hypermedia System for Managing Knowledge in Organizations." In *Proceedings of Hypertext '87*, Chapel Hill, NC. November 13-15, 1987. NY: Association for Computing Machinery Press, pp. 1-20.	
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	9	Chatterjee, Sunojit, "Trace Navigation and Analyzer Tool Prototype (High Level Design: First Draft" April 12, 2000	
	10	Clark, James and Steve DeRose, editors. XML Path Language (XPath). World Wide Web Consortium, 1999, http://www.w3.org/TR/xpath	
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	15	DeRose, Steve, editor. XML XPointer Language Requirements Version 1.0. World Wide Web Consortium, 1999. (See http://www.w3.org/TR/NOTE-xptr-req.)	

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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PA:52119689.1/2021039-7017812001

PTO/SB/08b (05-03)
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| Sheet | 2 | | 4 | Attorney Docket Number | 260/012 |

NON PATENT LITERATURE DOCUMENTS

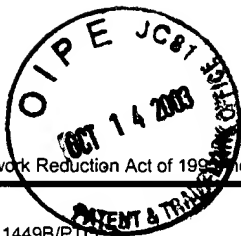| Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
	16	DeRose, Steve, Eve Maler, David Orchard, and Ben Trafford, editors. XML Linking Language (XLink). World Wide Web Consortium, December 2000. http://www.w3.org/TR/xlink/	
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Sheet	3		4	Attorney Docket Number	260/012

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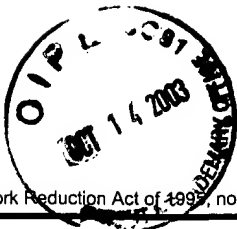| Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
	26	Halasz, Frank. 1994. "The Dexter Hypertext Reference Model." In *Communications of the Association for Computing Machinery* 37 (2), February 1994: 30-39.	
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	29	Hinden, R., et al., "Format for Literal IPv6 Addresses in URL's." Internet Engineering Task Force, 1999. (See http://www.ietf.org/rfc/rfc2732.txt.)	
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	39	Raggett, Dave et al., "HTML 4.01 Specification". World Wide Web Consortium, December 1999. http://www.w3.org/TR/html4/	

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	40	Sperberg-McQueen C.M. and Lou Burnard, editors.Guidelines for Electronic Text Encoding and Interchange. Association for Computers and the Humanities (ACH), Association for Computational Linguistics (ACL), and Association for Literary and Linguistic Computing (ALLC). Chicago, Oxford: Text Encoding Initiative, 1994.	
	41	Trigg, Randall H. 1988. "Guided Tours and Tabletops: Tools for Communicating in a Hypertext Environment." In *ACM Transactions on Office Information Systems*, 6.4 (October 1988): 398-414.	
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	43	van Ossenbruggen, Jacco, Anton Eliëns and Lloyd Rutledge. "The Role of XML in Open Hypermedia Systems." Position paper for the 4th Workshop on Open Hypermedia Systems, ACM Hypertext '98. http://aue.auc.dk/~kock/OHS-HT98/Papers/ossenbruggen.html	
	44	Whitehead, E.,"XML Media Types." Internet Engineering Task Force, Network Working Group, July 1998. http://www.ietf.org/rfc/rfc2376.txt	
	45	*XHTML 1.0: The Extensible HyperText Markup Language*. World Wide Web Consortium, January 2000. (See http://www.w3.org/TR/2000/REC-xhtml1-20000126/	
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	47	Yankelovich, Nicole, Norman Meyrowitz, and Andries van Dam. 1985. "Reading and Writing the Electronic Book." *IEEE Computer* 18 (October, 1985): 1-30.	
	48	Yergeau, F., Network Working Group, "UTF-8, a transformation format of ISO 10646." Internet Engineering Task Force, 1998. http://www.ietf.org/rfc/rfc2279.txt	
	49	Zellweger, Polle T. 1989. "Scripted Documents: A Hypermedia Path Mechanism." In *Proceedings of Hypertext '89*. NY: Association for Computing Machinery Press, November 1989	

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